

1 **GeneGuard: A Modular Plasmid System Designed for Biosafety**2 Oliver Wright,^{†,‡} Mihails Delmans,^{†,‡} Guy-Bart Stan,^{†,‡} and Tom Ellis^{*,†,‡}3 [†]Centre for Synthetic Biology and Innovation, Imperial College London, London SW7 2AZ, United Kingdom4 [‡]Department of Bioengineering, Imperial College London, London SW7 2AZ, United Kingdom5 **S** Supporting Information

6 **ABSTRACT:** Synthetic biology applications in biosensing, bioremediation, and
 7 biomining envision use of engineered microbes beyond a contained laboratory.
 8 Deployment of such microbes in the environment raises concerns of unchecked
 9 cellular proliferation or unwanted spread of synthetic genes. While antibiotic-
 10 resistant plasmids are the most utilized vectors for introducing synthetic genes
 11 into bacteria, they are also inherently insecure, acting naturally to propagate DNA
 12 from one cell to another. To introduce security into bacterial synthetic biology,
 13 we here took on the task of completely reformatting plasmids to be dependent on
 14 their intended host strain and inherently disadvantageous for others. Using conditional origins of replication, rich-media
 15 compatible auxotrophies, and toxin–antitoxin pairs we constructed a mutually dependent host-plasmid platform, called
 16 GeneGuard. In this, replication initiators for the R6K or Cole2-P9 origins are provided *in trans* by a specified host, whose
 17 essential *thyA* or *dapA* gene is translocated from a genomic to a plasmid location. This reciprocal arrangement is stable for at least
 18 100 generations without antibiotic selection and is compatible for use in LB medium and soil. Toxin genes ζ or Kid are also
 19 employed in an auxiliary manner to make the vector disadvantageous for strains not expressing their antitoxins. These devices, in
 20 isolation and in concert, severely reduce unintentional plasmid propagation in *E. coli* and *B. subtilis* and do not disrupt their
 21 intended *E. coli* host's growth dynamics. Our GeneGuard system comprises several versions of modular cargo-ready vectors,
 22 along with their requisite genomic integration cassettes, and is demonstrated here as an efficient vector for heavy-metal
 23 biosensors.

24 **KEYWORDS:** biosafety, plasmids, synthetic biology, biosensors, environment, horizontal gene transfer

25 Current microbial synthetic biology systems are predominantly
 26 built for use in contained bioreactors, for example, for the
 27 production of valuable compounds.¹ Other applications, by
 28 their very nature, are proposed for use outside the confines of a
 29 laboratory (e.g., biosensors,² bioremediation,³ and biomining).⁴
 30 This leads to understandable concern over the release of
 31 genetically modified microbes (GMMs), including their
 32 unchecked proliferation and the possibility of “genetic
 33 pollution”, (i.e., the undesired establishment of synthetic
 34 genetic material in other organisms).

35 In the past three decades, researchers have developed a
 36 catalogue of approaches for engineering microbes with the
 37 intention to address such GMM biosafety issues (e.g., “kill-
 38 switches”, auxotrophies). Two recent reviews described the
 39 strengths and weaknesses of these methods with respect to
 40 synthetic biology^{5,6} and highlighted how complete genomic
 41 recoding⁷ and “xenobiology”⁸ may offer solutions for the future.
 42 However, given that such technologies are in their infancy, a
 43 here-and-now solution is essential for biosensors and related
 44 GMM projects that are currently underway. In this work, we
 45 seek to fulfill this need by combining existing biosafety devices
 46 into what we believe is a robust strategy to counter unwanted
 47 horizontal gene transfer (HGT) of synthetic DNA.

48 Working with *E. coli*, we describe a plasmid-based system
 49 linked to its intended host strain via three separate mechanisms
 50 to ensure system redundancy. Although plasmids are
 51 extensively used in both synthetic biology and industrial

biotechnology, as mobile genetic elements they are inherently
 52 an environmental biosafety concern (e.g., antibiotic resistance
 53 spread). Despite this, they offer two crucial advantages over the
 54 alternative strategy of placing synthetic genes into the host
 55 microbe genome: (i) they are easier to construct, test and tune
 56 compared to genomically integrated DNA; and (ii) via
 57 imperfect retention and/or a lack of selection,⁹ they have a
 58 limited half-life when deployed into an environment.
 59 Furthermore, synthetic DNA placed within plasmids is not
 60 flanked by native genomic sequences, as it is with genomically
 61 integrated constructs. Such native flanking sequence gives the
 62 potential for synthetic DNA to homologously recombine into
 63 unmodified strains that possess significant genome homology
 64 to the intended host.
 65

Our plasmid system, called GeneGuard, focuses on three
 66 device classes that lead to host-plasmid mutual dependency: (i)
 67 a conditional origin of replication (COR), in which the
 68 requisite plasmid replication initiator protein is provided *in*
 69 *trans*; (ii) complementation of an introduced host auxotrophy,
 70 with compatibility for use in common rich-media; and (iii)
 71 plasmid-encoding of a broad-spectrum toxin to select against
 72 plasmid spread by making the plasmid DNA itself disadvanta-
 73 geous to maintain by a wild-type bacterium. Importantly, 74

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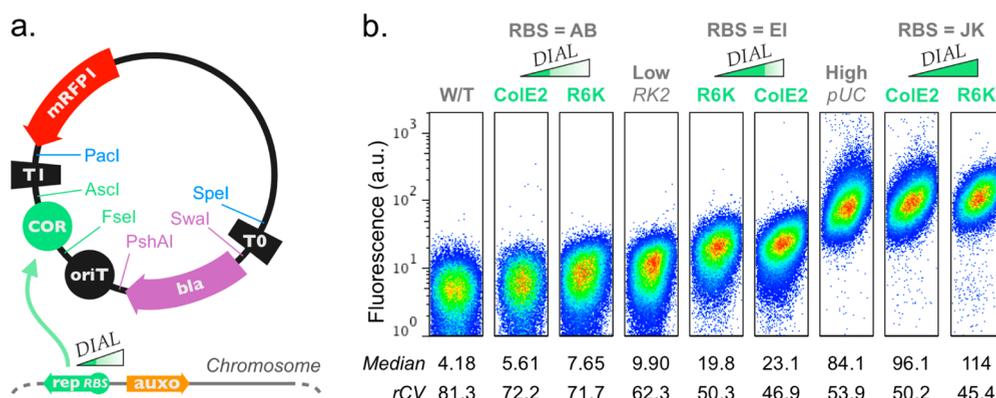


Figure 1. Flow cytometry of DIAL strains hosting COR reporter plasmids. (a) Schematic of COR plasmid dependence on host DIAL strain, where plasmid copy number is tuned by the ribosome binding site (RBS) strength of the replication initiator protein transcript. (b) DIAL strains constitutively expressing both π and RepA at low (AB), medium (EI) and high (JK) levels were transformed with mRFP1 reporter plasmids containing the R6K (pSEVA117Rb) or ColE2 (pSEVA177Rb) COR, and fluorescence assessed at mid log growth phase by flow cytometry. Low-copy RK2 (pSEVA127Rb) and high-copy pUC (pSEVA167Rb) origins were also profiled as controls. Median fluorescence values and robust coefficient of variation (rCV) are indicated beneath each plot ($n = 4$ biological repeats; representatives shown). W/T, wild-type *E. coli* MC1061 used for DIAL strain construction; au, arbitrary units; X-axis, side scatter; RBS EI, RBS E for π , RBS I for RepA (see Supporting Information Table 1 for more detail).

75 GeneGuard does not need to utilize antibiotic resistance
76 cassettes.

77 We assemble combinations of these devices using the
78 Standard European Vector Architecture (SEVA¹⁰), and tune
79 their expression using BIOFAB bicistronic domains.¹¹ The
80 result is a collection of new “secure” plasmids that are able to
81 replace those used as the vector DNA for most *E. coli* synthetic
82 biology projects. We examine here their efficiency for use in the
83 lab and provide a preliminary assessment of their compatibility
84 for use in soil. Selected devices are also examined for their
85 effects on host growth rate, and their ability to inhibit
86 horizontal gene transfer is assessed via electroporation into *E.*
87 *coli* and natural transformation into supercompetent *Bacillus*
88 *subtilis*.¹² Finally, as a proof-of-principle, we show that heavy-
89 metal biosensors built and hosted on commonly used plasmid
90 vectors perform as well, if not better, in the GeneGuard system.

91 ■ RESULTS AND DISCUSSION

92 To build the GeneGuard system we took three biosecurity
93 device classes (CORs, auxotrophy complementation and
94 toxin–antitoxin pairs), tested their functionality in *E. coli*, and
95 combined them into a set of customizable plasmids built upon
96 the modular SEVA plasmid architecture.¹⁰

97 **Conditional Origins of Replication (CORs).** Using
98 pSEVA111¹⁰ as a starting point, constitutive mRFP1 reporter
99 vectors containing either the R6K¹³ or ColE2 (-p9)¹⁴ COR
100 were built (pSEVA117Rb and pSEVA177Rb) and transformed
101 into three different tunable plasmid copy number “DIAL” strain
102 variants.¹⁵ As a proxy for confirming copy number control,
103 fluorescent output was assessed by flow cytometry (Figure 1;
104 see Supporting Information Table 1 for comprehensive plasmid
105 and strain details). Low-level expression of the replication
106 initiator proteins for R6K and ColE2 (π and RepA,
107 respectively) in DIAL strain AB resulted in near background
108 levels of observable fluorescence. Medium-level expression
109 (DIAL strain EI) gave an output higher than that observed
110 from a low-copy number RK2 origin control (pSEVA127Rb;
111 ~ 4 – 15 per cell¹⁶), while R6K/ColE2 plasmids hosted under a
112 high level of π /RepA expression (DIAL strain JK; previously
113 unpublished) resulted in equivalent or greater fluorescence than

114 that observed from a high-copy number pUC origin control
115 (pSEVA167Rb; ~ 100 per cell^{17,18}). These results are consistent
116 with the original DIAL strain data, which correlated well to
117 quantitative PCR estimation of plasmid copy number.¹⁵
118 Furthermore, the inability of these CORs to propagate in the
119 absence of π or RepA was confirmed through repeatedly
120 unsuccessful attempts to transform wild-type *E. coli* (i.e., no
121 colonies were obtained unless DIAL strains were used). This
122 confirms that COR plasmid replication is dependent on a
123 specific host, thereby inhibiting establishment in other
124 microbes.

Rich-media Compatible Auxotrophs. The use of anti-
125 biotic resistance genes is inappropriate for environmental
126 applications not only due to concerns over HGT but also
127 because of the impracticality of continually applying a selective
128 agent to a GMM-deployed area. An alternative strategy for
129 host-plasmid dependency is auxotrophic complementation.
130 Common auxotrophies (e.g., amino acid biosynthesis knock-
131 outs) usually require defined minimal-media to ensure key
132 metabolite absence, and typically result in a decreased growth
133 rate. Of greater utility are auxotrophs compatible with rich-
134 media (e.g., LB), such as knockouts in *E. coli* for thymidine
135 ($\Delta thyA$)¹⁹ or diaminopimelic acid (DAP; $\Delta dapA$).²⁰ Rich-
136 media supplementation with small quantities of these
137 metabolites supports the growth of knockouts, as does
138 complementation via introduction of a plasmid carrying the
139 relevant knocked-out gene.
140

Using the DIAL strains as a starting chassis, $\Delta thyA$ or $\Delta dapA$
141 auxotrophs were created using the λ Red recombinase
142 method,^{21,22} and integrants were verified by colony PCR.
143 These auxotrophs were unable to grow in LB medium unless
144 supplemented with the appropriate key metabolite. To
145 complement these knockouts, the *thyA* and *dapA* open reading
146 frames were amplified from *E. coli* MC1061 and separately
147 inserted into the R6K and ColE2 COR reporter plasmids (after
148 SEVA¹⁰ and BioBrick²³ incompatible restriction sites had been
149 removed, giving pSEVA117RbT or D, pSEVA177RbT or D).
150 BIOFAB constitutive promoters and bicistronic designs
151 (BCDs), configured for reliable levels of gene expression,¹¹
152 were used to heuristically tune *thyA* and *dapA* expression.
153

154 Promoter P14 coupled to BCD7 was picked as a prospective
 155 medium-strength combination and was found to be suitable for
 156 low-copy DIAL strain auxotroph complementation. In medium
 157 or high-copy DIAL strain auxotrophic variants; however,
 158 transformants were not obtainable. A second iteration using
 159 the weaker promoter P12 (~65% strength of P14) was found
 160 to exhibit wild-type growth characteristics in all DIAL strains
 161 used, indicating that a reasonable range of thymidylate synthase
 162 (*thyA*) or 4-hydroxy-tetrahydrodipicolinate synthase (*dapA*) is
 163 sufficient for key metabolite production.

164 The stability of our *thyA/dapA* plasmids was assessed at a
 165 low-copy number (DIAL strain AB), with their complementation
 166 of the introduced auxotrophy acting as sole selection
 167 pressure (Figure 2b). In the absence of selection, the
 168 probability of each daughter cell receiving a plasmid from its

mother is not sufficient to support indefinite propagation in a
 population over time. This was confirmed by the R6K and
 ColE2 COR reporter plasmids (pSEVA117Rb and pSE-
 VA177Rb) showing near complete depletion after ~100 cell
 divisions. In contrast, when auxotrophy complementation was
 employed, all cells analyzed retained the reporter plasmid
 (pSEVA117RbT or D, pSEVA177RbT or D). The previously
 used low-copy number RK2 origin control (pSEVA127Rb) was
 retained by approximately half of the population under the
 same conditions, while the high-copy pUC origin reporter
 plasmid (pSEVA167Rb) was lost from the population after ~60
 to 70 divisions, its presence likely placing an unfavorable
 burden on the host due to the energetic cost of its high
 replication rate.

While these two auxotrophy systems provide an antibiotic-
 free method of selecting for plasmids, their stability can easily
 be disturbed by the addition to the media of the relevant key
 metabolite (Figure 2c; *thyA*⁺ plasmids are lost more quickly
 than *dapA*⁺). This indicates the importance of choosing
 auxotrophies based on metabolites that are absent from the
 intended application environment. As an example, the perform-
 ance of the Δ *thyA* and Δ *dapA* *E. coli* DIAL strains in garden
 soil was investigated. Soil was taken and used as a supplement
 for overnight cultures of auxotrophic medium-copy DIAL
 strains (EI) in SOB (Figure 2d). Both Δ *thyA* and Δ *dapA*
 strains grew poorly in nonsterile soil, with Δ *dapA* result-
 ing in the fewest *E. coli* colonies. (Observation of larger, noncoliform
 colonies indicates the presence of additional kanamycin-
 resistant microbes in the soil used.) When supplemented
 with either the appropriate key metabolite or complementation
 plasmid (e.g., pSEVA177RbT or D), knockout strain growth
 density returned to wild-type levels. When sterile soil was used
 (Supporting Information Figure 1) in order to remove any
 potential competition effect that native microbes may have on
 key metabolite utilization, the Δ *dapA* strain still failed to thrive,
 implying that there is insufficient environmental DAP to
 support knockout growth.^{24,25} The growth of Δ *thyA*, however,
 was restored, indicating the presence of adequate thymidine
 nucleoside in the sterilized soil sample. Although this indicates
 potential for the eventual disruption of plasmid propagation,
 such imperfect retention may actually be preferred from a
 biosafety standpoint. Gradual plasmid loss, when combined
 with a host fitness deficit, should lead to GMMs that survive for
 months in the environment, rather than years. This is preferable
 for reducing the long-term chance of genetic pollution, and
 could theoretically be tuned for specific applications. For
 example, an auxotrophy based on an abundant environmental
 metabolite could be used with contained biosensors (e.g., those
 housed in a pregnancy-test-like device²), thereby ensuring a
 rapid loss of plasmid retention pressure in the event of GMM
 leakage.

Toxin–Antitoxin Systems As a Negative Selection
Pressure. A GMM that undergoes environmental deployment
 may contain synthetic genes that are advantageous for other
 organisms to acquire. To further reduce the likelihood of
 plasmid acquisition beyond a specified host, DNA-encoded
 broad-spectrum toxins were investigated as a means of exerting
 negative selection pressure on wild-type microbes. With
 plasmid-encoded toxins, host immunity is provided *in trans*
 via genomic integration of the cognate antitoxin. Full
 dependency on the expression or function of a toxin–antitoxin
 pair is a major flaw in previous “kill-switch” designs, as an
 inability to protect against inactivating mutations ultimately

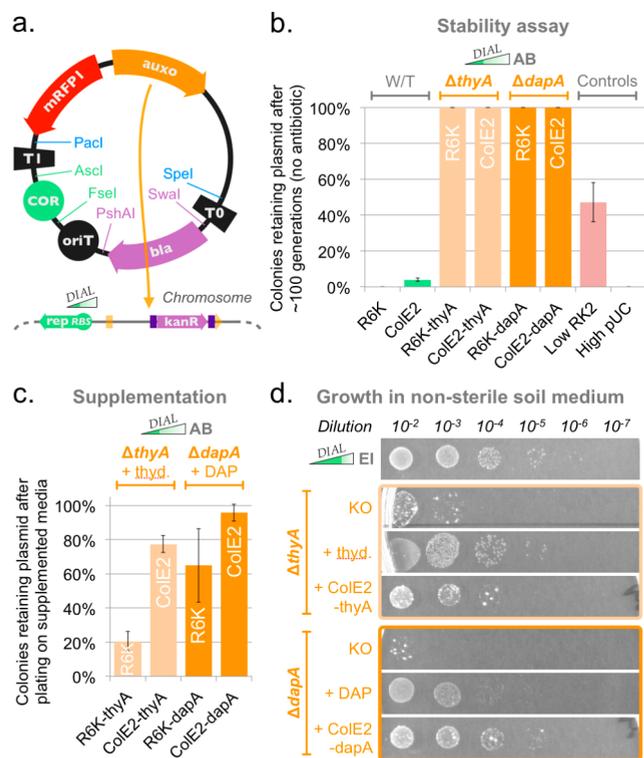


Figure 2. Plasmid stability in auxotrophic strains. (a) Schematic of DIAL strain auxotroph (Δ *thyA* or Δ *dapA*) dependence on complementing plasmid (*thyA*⁺ or *dapA*⁺). Orange arrow indicates complementation of knocked-out gene (remnants represented by orange bars on chromosome). (b) Stability assay measuring the proportion of colonies that retain their plasmid in low-copy DIAL strain AB after ~100 generations in liquid LB without antibiotic selection ($n = 4$ biological repeats; error bars = standard deviation). (c) Assay as above, but plated on agar containing key metabolite to assess plasmid stability when auxotrophic pressure is removed ($n = 4$ biological repeats; error bars = standard deviation). (d) Assessment nonsterile soil's ability to provide key metabolite to auxotrophs when added to liquid SOB and incubated overnight (dilution series subsequently plated on kanamycin-containing LB agar to suppress growth of other soil microbes). kanR, kanamycin resistance cassette; dark purple bars, FRT (flippase recognition target); *thyd.*, thymidine; DAP, diaminopimelic acid; KO, knockout; R6K (pSEVA117Rb), ColE2 (pSEVA177Rb), R6K-*thyA* (pSEVA117RbT), ColE2-*thyA* (pSEVA177RbT), R6K-*dapA* (pSEVA117RbD), ColE2-*dapA* (pSEVA177RbD), low RK2 (pSEVA127Rb), high pUC (pSEVA167Rb) (see Supporting Information Table 1 for more detail).

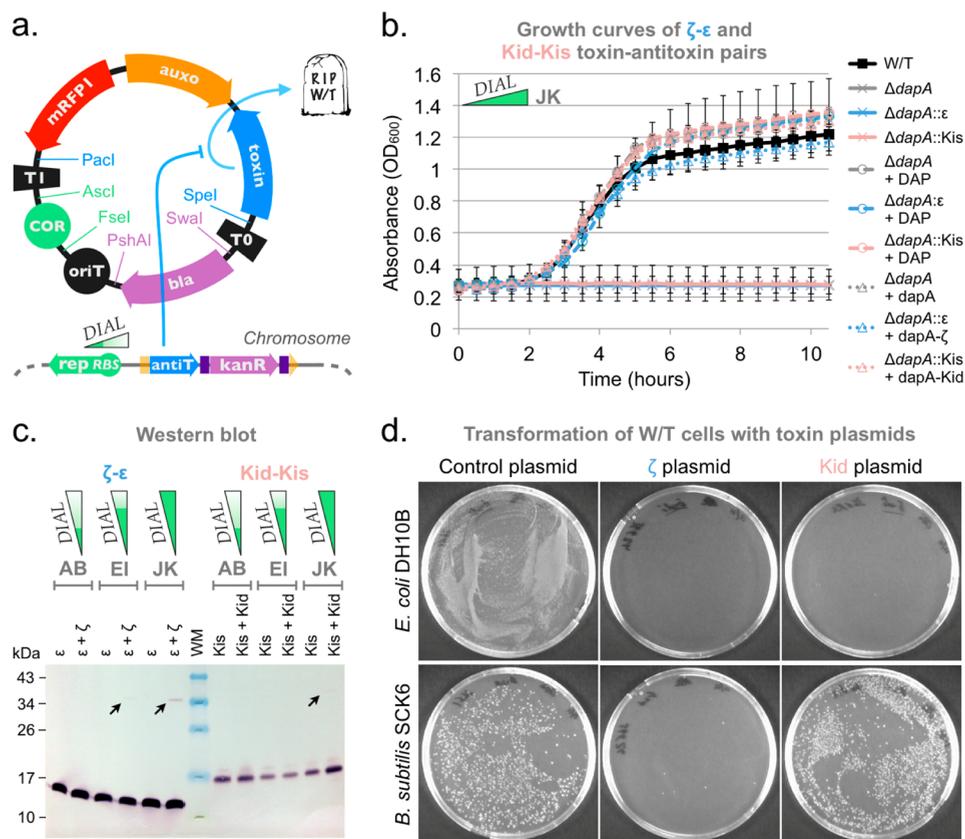


Figure 3. Use of ζ - ϵ and Kid-Kis toxin-antitoxin pairs. (a) Schematic of how a toxin-encoding plasmid may prove deleterious if taken up by wild-type cells, while the specified host cell possesses genome-encoded immunity. (b) Growth curves of high-copy DIAL strain JK with various combinations of $\Delta dapA$ auxotrophy, chromosomally integrated ϵ or Kis antitoxin, and plasmid-encoded ζ or Kid toxin (ColE2 COR used; $n = 3$ biological repeats; error bars = standard deviation). For $\Delta thyA$ auxotrophy, and other plasmid copy numbers, see Supporting Information Figure 2. (c) Western blot of various DIAL strains constitutively expressing integrated ϵ (11.5 kDa) or Kis (10.2 kDa) antitoxins alone, as well as with plasmid-encoded ζ (33.2 kDa) or Kid (12.7 kDa) toxins. All toxins/antitoxins are His-tagged at the C-terminus; putative toxin bands are arrowed. (d) Transformation assessment of ability of wild-type cells to maintain toxin plasmid in the absence of integrated antitoxin. W/T, wild-type; antiT, antitoxin; WM, weight marker; $dapA$ (pSEVA177RbD); $dapA$ - ζ (pSEVA177RbDZh); $dapA$ -Kid (pSEVA177RbDKh); control plasmid (pSEVA3b61); ζ plasmid (pSEVA3b6Zh); Kid plasmid (pSEVA3b6Kh).

232 leads to safety system failure.^{5,26} In GeneGuard, a toxin-
233 antitoxin pair is instead employed in an auxiliary manner, that
234 is, continued function is not critical to the overall integrity of
235 the system.

236 As toxin activity is required to be broad-spectrum to work
237 against a variety of environmental microbes, a broad-host-range
238 constitutive promoter was used to maximize the likelihood of
239 expression, and the toxins ζ (from *Streptococcus pyogenes*
240 plasmid pSM19035)^{27,28} and Kid (from *E. coli* plasmid R1)²⁹
241 were investigated. These proteins are reported to be growth-
242 inhibitory (i.e., bacteriostatic) when expressed in Gram-
243 positive, Gram-negative, and even some eukaryotic hosts. It is
244 important to note that the term “toxin” relates to their activity
245 as cytosolic enzymes: ζ interferes with the beginning of
246 peptidoglycan synthesis,³⁰ while Kid is a sequence-specific
247 endoribonuclease.³¹ The poisoning of nearby organisms is
248 therefore highly unlikely, as any released enzyme would require
249 cellular internalization before toxicity could occur; its
250 degradation is more probable.

251 His-tagged antitoxin genes for ϵ ²⁷ or Kis²⁹ were paired with
252 promoter P1 and ThrA-BCD2¹¹ and genome-integrated as per
253 previously (i.e., auxotrophs were also simultaneously created;
254 Figure 3a). While integration fixes antitoxin gene copy number,
255 plasmid-encoded toxin levels will vary depending on the host

DIAL strain used. A balance is therefore required so that toxin
256 gene dosage at a high plasmid copy number does not
257 overwhelm the available antitoxin, while maintaining an
258 adequate expression of toxin such that a low-copy dosage
259 remains toxic to wild-type cells lacking the antidote. This was
260 achieved through tuning toxin expression levels with a
261 designed, *E. coli* and *B. subtilis* compatible, spoVG³²
262 paired with a similarly compatible constitutive Pveg2
263 His-tagged ζ and Kid genes were inserted into the *thyA/dapA*
264 complementation plasmids (containing the ColE2 COR) so
265 that both the auxotrophy complementation and toxin open
266 reading frames converged upon a bidirectional terminator
267 flanked by synthetic insulating spacers.³³ 268

269 The use of spoVG2 gave tolerable levels of ζ in all antitoxin-
270 expressing DIAL strains. Kid expression, however, required
271 down-tuning for its plasmid to be acceptable to the high-copy
272 DIAL strain JK (the spoVG5 promoter, at ~27% of the
273 strength³² of spoVG2, was settled upon). Both the ζ and Kid
274 plasmids (pSEVA177RbTZh or TKh, DZh, or DKh), when
275 hosted by their respective antitoxin/auxotrophic DIAL strains
276 (AB, EI, or JK), did not perturb the growth rate from that of
277 the wild-type (Figure 3b; Supporting Information Figure 2).
278 Expression of antitoxin was confirmed via Western blot (Figure
279 3c) with toxin expression only just visible for both types in the

280 high-copy DIAL strain JK. The strong antitoxin bands observed
 281 indicate that greater expression of both toxins should be
 282 possible: ζ is neutralized by ϵ at a 1:1 ratio,³⁰ while two
 283 molecules of Kid are neutralized by each Kis.³⁴ Greater
 284 expression was not found to be possible for Kid, however, as
 285 demonstrated by the need to tune its translation down with
 286 spoVG5.

287 To confirm toxin activity in cells lacking antitoxin, the above
 288 plasmids were transformed into the low, medium and high-
 289 copy number auxotrophic DIAL strains that lacked the relevant
 290 antitoxin gene, and as intended, no colonies were immediately
 291 obtainable. After prolonged incubation for ~ 72 h, however,
 292 thousands of small colonies appeared on low-copy DIAL strain
 293 AB plates hosting the Kid toxin. This possibly indicates
 294 insufficient Kid expression for complete bacteriostasis. In
 295 addition, when the selection pressure of auxotrophy comple-
 296 mentation was removed through the use of prototrophic
 297 DIAL strains, several healthy colonies were obtained from each
 298 toxin plasmid transformation. This was due to deleterious *recA*-
 299 mediated homologous recombination between two similarly
 300 orientated pVeg promoters within our test plasmids, leading to
 301 toxin gene removal (pVeg also drove mRFP1 expression). This
 302 event was not seen in our auxotrophic DIAL strains, as such
 303 recombination also leads to the deletion of the essential
 304 complementation gene (see Figure 3a).

305 To assess toxin activity in a Gram-positive bacterium, toxin
 306 genes were transferred to a SEVA shuttle backbone containing
 307 a dual replication origin (pUC and pTHT15) and a
 308 chloramphenicol resistance cassette compatible for use in
 309 both *E. coli* and *B. subtilis*.³⁵ These constructs (pSEVA3b6Zh or
 310 Kh) contained no mRFP1 reporter, eliminating the previous
 311 source of recombination-mediated toxin deletion. To simulate a
 312 transformation that is more environmentally realistic than
 313 electroporation, a competence-inducible *B. subtilis* strain
 314 (SCK6¹²) was used. While electroporation of *E. coli* DH10B
 315 gave no colonies for either the ζ or Kid toxin plasmids (Figure
 316 3d), several ζ colonies and hundreds of Kid colonies resulted
 317 when transforming *B. subtilis* SCK6. The lack of Kid toxicity in
 318 *B. subtilis* SCK6 is likely due to the pre-existing genomic
 319 presence of a Kis antitoxin homologue, such as YdcD.³⁶ Taken
 320 together, the data presented reinforces the futility of relying on
 321 toxin integrity over time, and supports our approach of only
 322 utilizing kill-switch devices in an auxiliary role. Table 1
 323 summarizes the ability of the described devices to influence
 324 plasmid propagation.

325 **GeneGuard Genomic and Vector Cassettes.** Following
 326 assessment of the individual devices, various COR, auxotrophy,
 327 and toxin–antitoxin combinations were arranged into a
 328 complete GeneGuard system (Figure 4). A genomic integration
 329 cassette was designed to serve as a PCR template for the λ Red-
 330 mediated genomic insertion method, and consists of genes for
 331 the COR replication initiator, an antitoxin and a kanamycin
 332 selection cassette, all flanked by the necessary 5' and 3'
 333 homology arms for *thyA* or *dapA* knockout creation. A low-
 334 copy RK2 origin is used in this plasmid to minimize gene
 335 dosage problems (excess antitoxin production was itself found
 336 to exhibit toxicity), and a constitutive mRFP1 marker allows for
 337 easy identification of false-positives during the integration
 338 procedure (i.e., template plasmid carryover). Once a knockout
 339 is verified, P1 transduction can be performed if desired, and the
 340 FRT-flanked kanamycin selection cassette may be excised using
 341 the pCP20-encoded FLP recombinase.²¹ Note that the
 342 kanamycin resistance gene is not strictly necessary when

Table 1. Summary of COR, Auxotrophy Complementation and Toxin Device Effects on Host Cell Propagation^a

	pSEVA3b61 Control	pSEVA117Rb R6K COR	pSEVA177Rb ColE2 COR	pSEVA3b6Zh ζ toxin	pSEVA3b6Kh Kid toxin	pSEVA177RbT ColE2 + thyA	pSEVA177RbD ColE2 + dapA	pSEVA177RbDZh ColE2 + dapA + ζ	pSEVA177RbDKh ColE2 + dapA + Kid
<i>E. coli</i> MC1061	>1000 (n = 3)	0 (n = 2)	0 (n = 2)	<10 (n = 2)	<10 (n = 2)	>1000 (n = 2)	>1000 (n = 2)	<10 (n = 3) ^c	<100 (n = 3) ^c
<i>E. coli</i> DIAL ^b	>1000 (n = 2)	>1000 (n = 3)	>1000 (n = 3)	<10 (n = 4)	<10 (n = 2)	>1000 (n = 5)	>1000 (n = 5)	0 (n = 6)	0 (n = 6)
<i>E. coli</i> DIAL auxo ^b				>1000 (n = 2)	>1000 (n = 2)	>1000 (n = 2)	>1000 (n = 1)	>1000 (n = 6)	>1000 (n = 6)
<i>E. coli</i> DIAL auxo/ antitoxin ^b									
<i>B. subtilis</i> SCK6	>100 (n = 3)	0 (n = 2) ^d	<10 (n = 2) ^d	<10 (n = 3)	>100 (n = 3)				

^aApproximate number of colonies resulting from transformation of plasmid containing the various devices into each cell line. ^bLow, medium or high plasmid copy number DIAL strains gave similar results for each plasmid (strains with cognate auxotrophies/antitoxins used where appropriate). ^cpSEVA3b17Rb or pSEVA3b77Rb used respectively for testing R6K or ColE2 in *B. subtilis* due to antibiotic resistance cassette compatibility. Dashes indicate experiment not performed as considered unnecessary. For full plasmid and strain details, see Supporting Information Table 1.

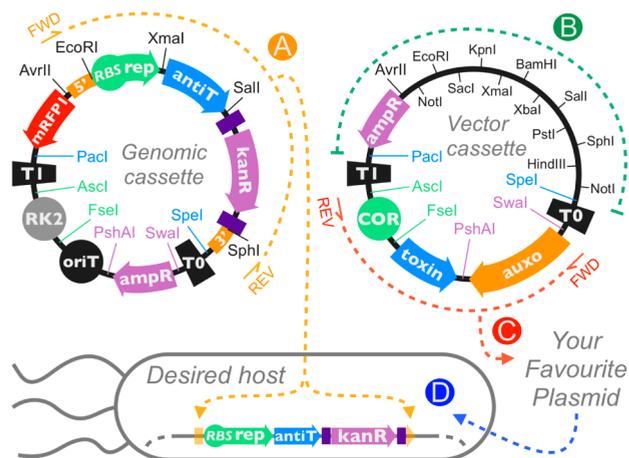


Figure 4. Schematic of the GeneGuard system. The genomic cassette (a) consists of replication initiator, antitoxin and FRT-bound kanamycin resistance genes, flanked by ~280 to 500 bp of 5'/3' UTR sequence from the *thyA* or *dapA* genes (total cassette size of ~3.6–3.8 kbp). The vector cassette (b) hosts cargo DNA via a pUC18-derived multicloning site that contains a removable antibiotic resistance gene between the *PacI* and *AvrII* sites. To retrofit existing plasmids, the COR/toxin/auxotrophy cassette (c) may be PCR-amplified and swapped with the existing origin/antibiotic resistance region. After construction, GeneGuard-derived plasmids are dependent on host cells that contain the requisite genomic cassette (d).

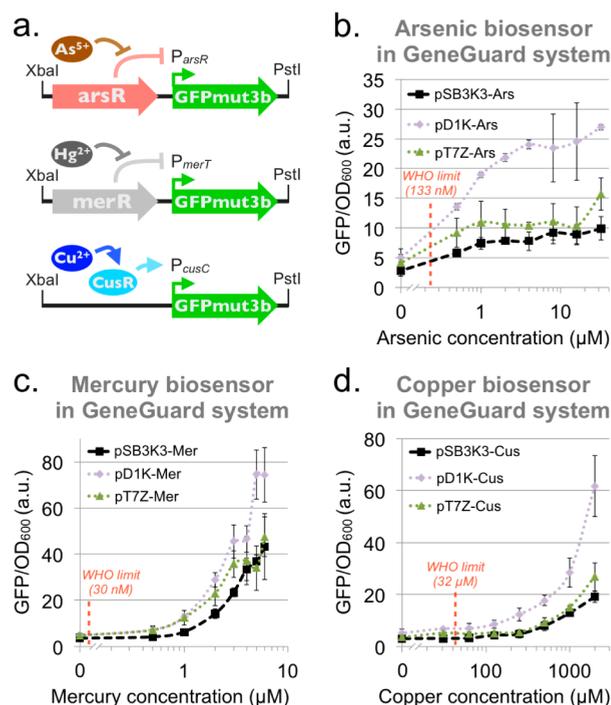


Figure 5. GeneGuard system applied to heavy-metal biosensors. (a) Schematic of biosensors inserted into GeneGuard plasmids. Arsenic relieves ArsR repression of *ParsR*; mercury relieves *MerR* repression of *PmerT*; and copper enables *CusR* activation of *PcusC*. Each of these promoters is linked to the reporter *GFPmut3b*. (b) Dose response curves in *E. coli* DH10B for the arsenic biosensor in its original plasmid (pSB3K3 contains a medium-copy p15A origin, requires kanamycin selection), and its performance when ported to GeneGuard variants pD1K (*dapA*, R6K COR, Kid toxin; no antibiotic selection used) and pT7Z (*thyA*, ColE2 COR, ζ toxin; no antibiotic selection used) with the requisite genomic cassettes inserted to support a medium-copy plasmid number ($n = 4$ biological repeats; error bars = standard deviation). (c) Dose response curves for the mercury biosensor, as per part b. (d) Dose response curves for the copper biosensor, as per part b. W/T, wild-type; au, arbitrary units; WHO, World Health Organization.⁴³ For low and high-copy GeneGuard plasmid results, see Supporting Information Figure 3.

replication initiator genes were placed in disparate genomic regions, here they were inserted immediately downstream of the native *thyA* or *dapA* promoter region and may therefore suffer from transcriptional read-through. Inversion of the replication initiator gene in a future iteration of the GeneGuard genomic cassette would address this.

Our GeneGuard system, while simple in concept, is sturdier in design when compared to other complicated biosecurity solutions.^{38,39} Through the use of three distinct mechanisms, it has redundancy in its design and is highly unlikely to provide any benefit to wild-type cells, therefore limiting the potential for genetic pollution. A COR sequence on its own is of little use, unless receiving microbes already host similar initiator-encoding plasmids (and even then plasmid incompatibility will likely result). The acquisition of a constitutive auxotrophy complementation gene also provides little utility; it may lead to greater flux through a pathway, but as thymidine and DAP biosynthesis genes are already pervasive, this is unlikely to confer a significant benefit. The toxins have been selected specifically to produce a negative selection pressure, and even if their activity is neutralized via mutation or through the presence of a pre-existing antitoxin, no evolutionary advantage

343 integrating at *thyA*, as trimethoprim may instead be used as a
344 counter-selection agent.¹⁹

345 The accompanying vector cassette consists of a COR region
346 and toxin and auxotrophy complementation genes. With a total
347 size of ~2.7 kbp, this compact cassette may be directly
348 amplified by PCR and used to simultaneously replace the
349 replication origin and resistance gene of a pre-existing plasmid
350 (i.e., retrofitting) or the vector cassette itself can be used as a
351 readymade plasmid backbone for the insertion of cargo DNA.
352 To ease initial plasmid manipulation in standard cloning strains,
353 a removable antibiotic resistance cassette is also included in the
354 multicloning site of the vector cassette backbone.

355 Using the GeneGuard System for Heavy-Metal

356 **Biosensors.** The GeneGuard system must satisfy two criteria
357 for use in environmental synthetic biology applications: (i) it
358 must increase biosafety but (ii) not disrupt application
359 functionality. To demonstrate that our plasmids fit the second
360 criterion, we took previously described arsenic, mercury, and
361 copper biosensors³⁷ and compared their performance when
362 hosted on their original backbone to that of two different
363 medium-copy GeneGuard plasmids (Figure 5). When the
364 pD1K backbone (*dapA*⁺, R6K COR, Kid toxin) is used,
365 fluorescence output at all heavy-metal concentrations is
366 beneficially elevated in comparison to the original medium-
367 copy number pSB3K3 BioBrick plasmid. When pT7Z (*thyA*⁺,
368 ColE2 COR, ζ toxin) is used, the biosensors have a dose
369 response profile more equivalent to that of the original pSB3K3
370 backbone. This proves that the GeneGuard system does not
371 hamper cargo function, and hence that it could be used for real-
372 world deployment.

373 The useful but unexpected increase in dynamic signal range
374 for pD1K-hosted biosensors (see Supporting Information
375 Figure 3) is likely due to greater than expected π replication
376 initiator production, resulting in a higher than desired plasmid
377 copy number. This illustrates the importance of the genomic
378 cassette's architecture: whereas in the original DIAL strains the

401 will ensue. While this version of GeneGuard is designed to
402 work in *E. coli*, application to other environmentally relevant
403 bacteria is possible. For example, thymidine and DAP
404 auxotrophies have been experimented with in *Pseudomonas*
405 *fluorescens*^{24,25} and *P. putida*⁴⁰ for bioremediation roles, while *B.*
406 *subtilis* required the deletion of two discontinuous thymidylate
407 synthetase genes to create a thymidine auxotroph.⁴¹ It is
408 important to note, however, that each species requires context-
409 specific optimization of GeneGuard device expression and
410 function, a nontrivial task if plasmid construction and
411 propagation during this optimization relies on using *E. coli*
412 cloning strains.

413 GeneGuard, as presented here, represents the state-of-the-art
414 for *E. coli* plasmid biosecurity. In our opinion, the best
415 combination of parts profiled would be the ColE2 COR
416 combined with Δ dapA complementation (soil lacks sufficient
417 DAP) and the ζ toxin (effective against *E. coli* and *B. subtilis*).
418 Our system could further be improved to limit successful HGT
419 by refactoring vector parts to have minimal homology to all
420 known microbial genomes (e.g., codon shuffling of the
421 auxotrophy complementation genes) or plasmids, and through
422 the future addition of alternative parts into the modular set. In
423 addition, integration of the replication initiator and antitoxin
424 genes at different genomic loci would further decrease the
425 chance of both parts transposing to, or with, the synthetic
426 plasmid into other cells. This, however, would require
427 additional genomic manipulation. In conclusion, adoption of
428 GeneGuard for environmental synthetic biology would be a
429 beneficial move, as common biosafety concerns can be
430 addressed without detriment to end-use applications. Whether
431 this satisfies local and/or supranational regulations, however, is
432 an ongoing debate. A recent workshop⁴² held on this subject,
433 covering past examples and current regulatory issues, discusses
434 and summarizes the prospects and hurdles faced for future
435 deployment requests of GMMs.

436 ■ METHODS

437 **Media and General Materials.** Microbes were propagated
438 in LB broth/agar, supplemented as appropriate with thymidine
439 (20 mg/mL stock prepared in water) or diamminopimelic acid
440 (DAP; 20 mg/mL stock prepared in water, with 10 M NaOH
441 added dropwise until solute dissolved) to a final concentration
442 of 50 μ g/mL.^{44–46} (Thymine, despite a previous report,¹⁹ was
443 unable to support Δ thyA cells.) Ampicillin was used at 100 μ g/
444 mL; kanamycin at 25 μ g/mL; and chloramphenicol at 6 μ g/
445 mL. Sterile polystyrene 96-well plates from Corning B.V. Life
446 Sciences were used for all assays, and sealed with Breathe-Easy
447 sealing membrane (Sigma-Aldrich Company Ltd.) prior to any
448 incubation step. *B. subtilis* SCK6¹² was purchased from the
449 Bacillus Genetic Stock Center (<http://www.bgsc.org/>).

450 **Device Construction.** Oligos were ordered from Integrated
451 DNA Technologies BVBA, and larger DNA pieces as GeneArt
452 Strings from Life Technologies Ltd. Phusion High-Fidelity
453 DNA polymerase (20 μ L reactions; New England Biolabs
454 (U.K.) Ltd.) was used according to the manufacturer's
455 instructions for part construction, along with touchdown
456 PCR⁴⁷ and overlap extension PCR⁴⁸ for device assembly/
457 point mutations (for full sequence details and GenBank
458 accession numbers, see Supporting Information Table 1). For
459 colony PCR, REDTaq ReadyMix (10 μ L reactions; Sigma-
460 Aldrich Company Ltd.) was used according to the manufac-
461 turer's instructions on colony scrapings from sterile toothpicks.
462 Sequence verification was performed by Source BioScience Plc.

Flow Cytometry. Using 96-well plates, 2 μ L of overnight 463
culture was used to seed 200 μ L of fresh LB (with ampicillin) 464
and incubated to mid log phase (30 °C, ~710 rpm, for ~3 h). 465
After dilution in water, samples were analyzed using a FACScan 466
(Becton Dickinson Co.) that had been upgraded by Cytek 467
Development Inc. and coupled to an Automated Machine 468
Sampler system (Cytek Development Inc.). Excitation of 469
mRFP1 was at 561 nm, with emission monitored at 615/25 470
nm. Data was collected using CellQuest Pro (v5.1.1; Becton 471
Dickinson Co.), and processed using FlowJo (v7.6.5; Tree Star 472
Inc.). 473

Genomic Integration. Genomic integration was performed 474
as previously described.²¹ For each reaction, 166 μ L overnight 475
culture of desired host strain (pretransformed with pKD46; 476
encodes arabinose-inducible λ Red recombinase system) was 477
used to seed 8.3 mL LB (¹/₅₀ dilution; ampicillin) and grown at 478
30 °C, ~225 rpm, for 1 h. Arabinose was then added to 0.05% 479
(~3.3 mM; 20% stock), and incubation continued until OD₆₀₀ 480
≈ 0.4. After washing and concentrating cells to 50 μ L in 20% 481
glycerol, 200 to 400 ng of PCR product to be inserted 482
(amplified from ~100 pg template plasmid; purified, 483
resuspended in water) was added for electroporation. Trans- 484
formed cells were recovered for 2 h at 37 °C, ~225 rpm, 485
pelleted and plated on LB agar (with kanamycin, plus key 486
metabolite to supplement the introduced auxotrophy), and 487
incubated overnight at 37 °C. Typically ~50 to 500 colonies 488
were obtained, the large majority of which were successful 489
integrants. It is worth noting that the integration of the PCR 490
products (~3.8 kbp) used in this work is pushing the known 491
limits of the λ Red method.⁴⁹ In addition, thiamine 492
pyrophosphate⁵⁰ knockouts (TPP; Δ thiL) were also attempted 493
(TPP supplemented to 4.6 μ g/mL^{51,52}), but for unknown 494
reasons, we were unable to isolate an auxotroph. 495

Plasmid Stability Assay. Using 96-well plates, 0.2 μ L of 496
overnight culture under antibiotic selection pressure (ampi- 497
cillin) was used to seed 200 μ L of fresh LB (no antibiotic) and 498
incubated at 37 °C, ~850 rpm, for ~6 h to achieve culture 499
saturation (approximately 10 generations). Passaging was 500
repeated until ~100 generations were achieved, at which 501
point 20 μ L of a 1×10^{-5} dilution was added to 50 μ L fresh LB 502
and plated on LB agar with or without antibiotic. Resultant 503
colonies (from the nonselective plates) were examined for the 504
presence of mRFP1 fluorescence as an indicator of plasmid 505
retention using a hand-held 532 nm laser pointer in 506
combination with the bandpass emission filter of a Visi-Blue 507
transilluminator (Ultra-Violet Products Ltd.). For ColE2 508
CORs, where fluorescence in the low-copy DIAL strain AB 509
was too faint to reliably assess, a combination of colony PCR, 510
streak testing on selective media, and comparison of colony 511
numbers on selective/nonselective media were instead made. 512

Soil Assay. One g of fresh or autoclaved garden soil 513
(London, postcode SW10 0QP) was added to 3 mL of SOB 514
medium (no antibiotic) and inoculated with 1 μ L of overnight 515
culture (grown in the presence of supplement where 516
necessary). Cultures were grown overnight in 15 mL tubes at 517
30 °C, ~225 rpm, and soil allowed to sediment before serial 518
dilutions (1×10^{-2} to 1×10^{-7}) were made using a 96-well 519
plate (final volumes of 180 μ L). Each dilution (10 μ L) was 520
dropped onto LB agar containing kanamycin to select for 521
auxotrophic *E. coli* strain growth, allowed to dry, and incubated 522
overnight at 30 °C. Only ColE2 CORs in medium copy (EI) 523
DIAL strain auxotrophs were assessed; the wild-type control 524

525 (i.e., prototroph) harbored an intermediary construction
526 plasmid containing a R6K COR and *kanR* cassette.

527 **Growth Curves.** 0.2 μL of overnight culture was diluted
528 $1/_{9000}$ in 1.8 mL LB ($\sim 5 \times 10^4$ colony forming units/mL⁵³),
529 with 200 μL subsequently aliquoted into a 96-well plate.
530 Experiments were performed *in situ* using a POLARstar Omega
531 microplate reader (BMG Labtech GMBH), at 37 °C, ~ 700
532 rpm, with OD₆₀₀ read every 30 min. Data was collected using
533 Omega (v1.02) and MARS Data Analysis software (v1.10;
534 BMG Labtech GMBH), and growth curves manually shifted
535 along the X-axis to compensate for any initial lag phase.

536 **Western Blotting.** 500 μL aliquots of mid log phase
537 cultures ($1/_{100}$ overnight culture dilution, grown for 3 h at 37
538 °C, ~ 225 rpm) were pelleted and resuspended in sufficient
539 Laemmli sample buffer⁵⁴ to normalize OD₆₀₀ to 0.4 (in an
540 assumed 50 μL volume), boiled for ~ 2 min and then allowed to
541 cool. For ζ -His/ ϵ -His, 7 μL of prepared sample was loaded
542 onto an Any kD Mini-PROTEAN TGX precast gel (Bio-Rad
543 Laboratories Ltd.); for fainter Kid-His/Kis-His samples, 14 μL
544 was used. The gel was run in a Mini-PROTEAN Tetra Cell
545 (Bio-Rad Laboratories Ltd.) with 5 μL of PageRuler Prestained
546 Protein Ladder (Fisher Scientific U.K. Ltd.), transferred to a
547 PVDF membrane using a Trans-Blot SD Semi-Dry Electro-
548 phoretic Transfer Cell (Bio-Rad Laboratories Ltd.), and
549 visualized with a WesternBreeze chromogenic immunodetection
550 kit using Novex histidine tag (6 \times His) monoclonal mouse
551 antibody (Life Technologies Ltd.) as the primary antibody at a
552 concentration of 0.33 $\mu\text{g}/\text{mL}$ ($1/_{1500}$ dilution) (all as per the
553 manufacturer's instructions).

554 **Transformation Assay.** For *E. coli* strains, 1 μL of 50 ng/
555 μL plasmid stock was electroporated (1.8 kV, 0.1 cm
556 electrocuvettes) into 50 μL aliquots of prepared cells
557 (harvested mid log phase, washed and concentrated $\sim 133\times$ in
558 20% glycerol, stored at -80 °C) using a MicroPulser
559 electroporator (Bio-Rad Laboratories Ltd.) as per the
560 manufacturer's instructions, and recovered in 300 μL of LB
561 for 1 h at 37 °C, ~ 225 rpm. 100 μL was then plated on
562 selective LB agar. For *B. subtilis* SCK6 (integrated P_{xyIA} -
563 *comK*¹²), 1 mL of overnight culture was diluted with 2 mL fresh
564 LB, and 105 μL of filter-sterilized 30% D-xylose added to induce
565 competence (1% final conc.). After 2 h at 37 °C, ~ 225 rpm,
566 100 μL aliquots were taken, 5 μL of 20 ng/ μL plasmid added
567 and incubation continued for 90 min, after which all was plated
568 on LB agar (with chloramphenicol). All plates were incubated
569 overnight at 37 °C.

570 **Biosensor Assay.** Overnight culture (15 μL) was diluted
571 $1/_{100}$ in 1.5 mL LB, with 180 μL subsequently added to wells
572 containing 20 μL of serially diluted heavy-metal (32 μM to 0.5
573 μM Na₂HAsO₄; 6 to 0.5 μM HgCl₂; 2,000 to 31 μM CuSO₄) in
574 a 96-well plate, mixing well. Sealed plates were incubated at 30
575 °C, ~ 710 rpm, for 6 h prior to OD₆₀₀ and GFPmut3b
576 fluorescence (485 nm excitation, 520 nm emission, with the
577 gain set to 1000 and bottom reading optics used) being read on
578 a POLARstar Omega microplate reader (BMG Labtech
579 GMBH; see previous). Antibiotic (kanamycin) was only
580 present in samples containing the original pSB3K3 plasmids.

581 ■ ASSOCIATED CONTENT

582 ● Supporting Information

583 Supplementary figures, sequence tables, GenBank accession
584 numbers, and annotated plasmid ApE files (ApE can be
585 downloaded for free at [http://biologylabs.utah.edu/jorgensen/](http://biologylabs.utah.edu/jorgensen/wayned/ape/)
586 [wayned/ape/](http://biologylabs.utah.edu/jorgensen/wayned/ape/)). This material is available free of charge via the

Internet at <http://pubs.acs.org>. In addition, selected Gene-
Guard plasmids may be obtained from the SEVA repository by
request (see <http://seva.cnb.csic.es/>).

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Author Contributions

O.W. designed, constructed and tested the various devices and
GeneGuard plasmids. M.D. assisted with construction and
testing. O.W. and T.E. wrote the manuscript, analyzed data and
created figures. T.E. and G.-B.S. designed the project,
supervised and coordinated the research.

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